

Canadian Lawyers Liability Assurance Society

2025/2026 Renewal Application for  
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured):

2. Address of principal office:

Phone:  Fax:

3. Address, phone, and fax numbers of other office(s):

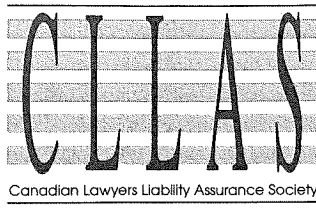
4. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

5. Since last year's CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm?

☐ yes ☒ no

If "yes", please attach details (including the number of lawyers merged into the Firm in each such situation).



6. Please confirm if the Management or service companies, date(s) established, and services provided are correctly listed under Appendix A.

☒ yes    ☐ no

If "no", please provide an update.

7. Please confirm the list of the Firm's predecessor firms resulting from mergers since July 1, 1987 is complete as outlined in Appendix A.

☒ yes    ☐ no

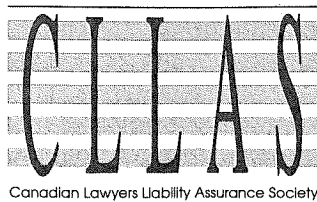
If "no", please provide an update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of February 15<sup>th</sup>, 2025:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels, and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of other employees (including paralegals).
- e) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels, or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.



9. Have any of the lawyers, or non-lawyer consultants listed in Appendices B and C, or former lawyers, or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended, or disbarred from practice since last year's CLLAS application?

☐ yes      ☒ no

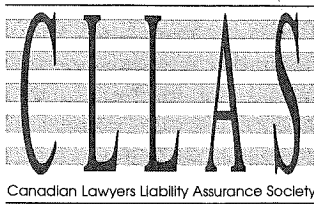
If "yes", please attach details.

10. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	55.4 %	54.70 %
b) Criminal Law	0.0 %	0.00 %
c) Family Law	0.0 %	0.00 %
d) Intellectual Property	0.3 %	0.20 %
e) Labour Law	0.1 %	0.10 %
f) Litigation	17.8 %	19.90 %
g) Real Estate	4.4 %	5.30 %
h) Securities Law	0.0 %	0.00 %
i) Tax Matters	4.1 %	4.5 %
j) Wills, Estates, Trust	0.0 %	0.00 %
k) Other (please specify)	18.0 %	15.40 %

11. Attached, as Appendix D, is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify and update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes, coverage for lawyers providing Professional Services from a U.S. office, as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached, as Appendix F, is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2024. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **Notices on this application are not considered proper notice of a claim.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved in excess of \$500,000.



Note: All claims or notices require the following details: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim including damages sought, amount paid (legal & indemnity, and amount reserved (legal & indemnity)).

14. Does the Firm wish to purchase CLLAS optional excess coverage? If so, please select desired options.

☒ \$60M xs \$160M  
(*\$60M xs \$100M coverage is required to purchase this option*)

☒ CLLAS second umbrella coverage \$30M xs \$250M  
(*\$60M xs \$160M coverage is required to purchase this option*)

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach, with Appendix I, a copy of the Firm's 2025 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature:   
(*Must be signed by a Partner of the Firm*)

Name of Signatory: Graham Smith  
(*Who shall be the designated contact person between CLLAS and the Firm as respects this insurance*)

Date: 24 - FEBRUARY - 2025

## APPENDIX A

## Management and Services Companies / Predecessor Firms

## Name of Firm

Goodmans LLP

[illegible]



APPENDIX B

Active Members of the Firm as of February 15, 2025

Name of Firm  
Goodmans LLP

Updated as of (Enter Date):  
February 15, 2025

	CANADA									OUTSIDE OF CANADA <sup>/5</sup>				
	B.C.	Alberta	Ontario				Quebec	Nova Scotia	Other Provinces (Please specify, change, heading)	U.S.	China	South Africa	Other Countries (Please specify, change, heading)	Other Countries (Please specify, change, heading)
			Current Year	Current Year	Prior Year	Prior Year	Change							
a) No. of Lawyers <sup>/1</sup>														
Partners (no PC's)			33		41									
Associates			61		56									
Consultants			20	114	17	114	0							
b) No. of Patent & Trademark Agents <sup>/2</sup>				1		0	1							
c) No. of Non-lawyer Consultants <sup>/3</sup>				5		7	-2							
d) No. of Paralegals				18		16	2							
e) No. of Other Employees				0		0	0							
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>				83		71	12							
			221		208									

/1 Including partners, employed lawyers, counsels/of counsels and lawyer consultants

/2 These are not lawyers.

/3 Please complete Appendix C if individuals are reported under this category

/4 Lawyers reported here should not be included under a).(See note at Question 8.f) of the application.

/5 Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns

Please attach a list of the lawyers reported under a) above, showing in each case their full name, date of call, date joined the Firm and, if applicable, date became partner.  
Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.  
If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please provide full particulars such as percentage of practice other than Law.

## APPENDIX C

**Active Non-Lawyer Consultants Of The Firm As Of February 15, 2025**  
**(Excluding Patent & Trademark Agents)**

**Name of Firm**  
Goodmans LLP

Updated as of (Enter Date):  
February 15, 2025

**SECTION A**

[illegible]

/1 If underlying insurance is purchased, please complete Section B.

/2 Please complete this column only for individuals who are not acting under the supervision of a lawyer and for that portion of time the individual is not acting under the supervision of a lawyer.

**SECTION 8**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limit (Per Claim):	
Limit (Aggregate):	



## **Appendix “G” – Risk Management Policies and Procedures**

**The following is a list of firm policies and procedures under the category of risk management copied from the firm’s intranet.**

Risk Management Policy and Procedures – Anti-Corruption

Risk Management Policy and Procedures – Audit Inquiry

Risk Management Policy and Procedures – Client-Matter Intake and Conflict Searches

Risk Management Policy and Procedures – Client, Third Party and Website Privacy

Risk Management Policy and Procedures – Confidentiality and Trading Securities

Risk Management Policy and Procedures – Directorship - Letter to Corporation re Limitation of Directorship Role

Risk Management Policy and Procedures – Directorship Approval Form

Risk Management Policy and Procedures – Directorships

Risk Management Policy and Procedures – Documents to be Filed on SEDAR with Redacted Information

Risk Management Policy and Procedures – e-Signatures

Risk Management Policy and Procedures – Employee Departures

Risk Management Policy and Procedures – Ethical Walls

Risk Management Policy and Procedures – File Closing

Risk Management Policy and Procedures – Investments and Opportunities

Risk Management Policy and Procedures – Legal Opinions

Risk Management Policy and Procedures – Ownership Enquiry - Prospectus and Information Circulars

Risk Management Policy and Procedures – Search Warrants

Risk Management Policy and Procedures – Service of Documents

Risk Management Policy and Procedures – Tax Opinions

**The Firm also has policies and procedures touching on various risk management issues under the categories of Human Resources, Technology (including policies required by our**

**ISO27001 certification), Records and Information Management and Finance. Specific policies can be provided upon request.**

**CLLAS 2025-2026 renewal - Appendix G.docx**

# APPENDIX H

## Cyber Liability

### Name of Firm

Goodmans LLP

1	Personnel	Enter Yes or No
a)	Do you have a Chief Security Officer or Chief Information Security Officer or equivalent?	Yes
	If “no”, who within the Firm is responsible for the management of and compliance with the Firm’s Security Policies?	
b)	Do you have a Chief Privacy Officer or equivalent?	Yes
	If “no”, who within the Firm is responsible for the management of and compliance with the Firm’s Privacy Policies?	
2	Protection	Enter Yes or No
a)	Do you use encryption tools to enhance the integrity and confidentiality of confidential information?	Yes
	If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)	
	- Data at rest	Yes
	- Data in transit	Yes
	- Data transferred to removable media (laptops, CD’s, backup tapes, USB devices, etc.)	Yes
	- None of the above	
b)	Do you use and regularly update industry-standard antivirus software?	Yes

# APPENDIX H

## Cyber Liability

### Name of Firm

Goodmans LLP

c)	Do you install the latest software updates to reduce security vulnerabilities?	Yes
d)	Do you require that passwords be a minimum length and contain alpha and numeric characters?	Yes
e)	Do you require that passwords be regularly updated?	Yes
f)	Do you check to make sure that no spyware or adware resides on your computers?	Yes
g)	Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems?	Yes
h)	Is the data on your servers encrypted?	Yes
i)	Is the data on your desktop and laptop computers encrypted?	Yes
j)	Is the data on your mobile devices encrypted?	Yes
k)	Have predesignated computer system/application access rights and privileges been set for all authorized users?	Yes
l)	Is there hourly or daily automatic backup of documents and emails?	Yes
m)	Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems?	Yes
n)	Are backups stored off-site at a secure location?	Yes
o)	Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen?	Yes
p)	Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files?	Yes
q)	Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel?	Yes
r)	Has the firm implemented multi-factor authentication for remote access to firm systems?	Yes
s)	Are security controls consistent across the entire firm?	Yes
3	Incident Report	Enter Yes or No
	Do you have a written network security incident response plan?	Yes

# APPENDIX H

## Cyber Liability

**Name of Firm**

Goodmans LLP

If “yes”:

a)	Does it include alternative options should a critical third party outsourcing provider’s operations be incapacitated?	Yes
b)	Does it include procedures to alert your clients that their data may have been compromised?	Yes

4	Policies	Enter Yes or No
a)	Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis?	Yes
b)	Do you advise your lawyers of the risks of using unencrypted email?	Yes
c)	Does your firm advise your lawyers of the dangers of metadata?	Yes
d)	Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?	Yes
e)	Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? [i.e. we purchase CLLAS cyber coverage]	Yes

## **CERTIFICATE OF INSURANCE**

ISSUED BY:  
**LAWYERS' PROFESSIONAL INDEMNITY COMPANY (LAWPRO)**

**DATE OF ISSUE:** February 18, 2025

**POLICY:** LAWPRO Policy No. 2025-001

**FIRM:** **GOODMANS LLP**

**INSUREDS:** See Schedule 'A' Attached

**POLICY TYPE:** LAWYERS' PROFESSIONAL LIABILITY

**POLICY TERM:** From January 1, 2025, 12:01 a.m. to December 31, 2025, 11:59 p.m.  
Standard Time at the address of the NAMED INSURED.

**LIMIT PER CLAIM:** \$1,000,000.00

**ANNUAL AGGREGATE LIMIT:** \$2,000,000.00

This is to confirm that, pursuant to LAWPRO Policy No. 2025-001, the above policy coverage was issued to the individuals indicated on the attached Schedule, as INSUREDS against liability for CLAIMS arising out of the performance of, or the failure to perform PROFESSIONAL SERVICES anywhere in Canada for others in the INSURED'S capacity as a lawyer, where such services are performed in respect of the laws of Canada, its Provinces and Territories, subject to all agreements, conditions, exclusions and provisions of the above noted POLICY as well as any amendments thereto.

LAWYERS' PROFESSIONAL INDEMNITY COMPANY

Per: *Daniel E. Pinnington*

SCHEDULE A : LIST OF FIRM MEMBERS

53624D	ANDRES, IAN
55148B	ARMSTRONG, CHRISTOPHER
85345O	AXELL, ERIK
32739L	BARKIN, IRA
82399E	BARRETT, AARON
77904U	BARRETT, MARGARET
75363D	BAXTER, CHRISTOPHER
49265F	BENEDETTI, ANNE
31326M	BERG TRISTER, AMALIA
25698P	BERG, IRA
51487W	BERTRAND, MICHAEL
63565P	BISHOP, JESSICA
17693E	BLIDNER, MARK
65812D	BLOCK, DANIEL
88116M	BORINS, MAX
39359B	BOWMAN, ALAN
37016W	BRODKIN, ANDREW
45343R	BRONSKILL, DAVID
79900M	BROOKS, MEGAN
86856L	BROWN, COLE
52782A	CAPPE, DANIEL
35165K	CHADWICK, ROBERT
84172R	CHEN, ZHIYAO
35415K	CIPPARONE, EUGENE
26857L	CITRON, JEFFREY
46828Q	COHEN, DANIEL
67765Q	COHEN, KIRBY
64357V	COLL-BLACK, DAVID
27241U	CONFORTI, JOSEPH
34621M	CONKLIN, DAVID
90348A	CONLON, PATRICK
50994N	CONNON, JOHN
39405O	COSENTINO, JOSEPH
46582L	COSTA, CATERINA
36670I	CREERY, KATHARINE
23658R	CROFOOT, KENNETH
65851G	D'ANGELO, ANTHONY
37787V	DE LUCA, NANDO

**SCHEDULE A : LIST OF FIRM MEMBERS**

58249U	DEDIC, DAN
58251A	DESCOURS, CAROLINE
84187O	DEVINE, LUKE
69953J	DI BARTOLOMEO, JULIAN
32814K	DIFIORE, MARIO
87212H	DONOVAN, KATHERINE
55510L	DUNN, MARK
30640G	EMPEY, BRIAN
79948C	ENGEL HERCZ, ALEXANDER
84336F	ERDMAN, MATTHEW JONAH
30644N	ERNST, GLENN
84337B	FADAHUNSI, OLUWABUKOLA
50263N	FELDMAN, JONATHAN
69967K	FERREIRA, EVITA
54259Q	FIRSTEN, JAMIE
68414W	FOX, CARLIE
51288P	FREEMAN, JARRETT
39062H	FREEMAN, SHELDON
31848L	FRIEDLAND, THOMAS
86572W	FRIEDLICH, THOMAS
90042I	FRIEDMAN, ROY
84194U	FRISZMAN, SAMARA
72873G	FULOP, LARISSA
75017B	GAFFNEY, OWEN
29869H	GARVIE, SUSAN
70894O	GEROW, KYLE
85067B	GILL, DARREN AJAYVEER SINGH
56774T	GILL, RODNEY
34691Q	GOODMAN, ALLEN
40455H	GORMAN, WILLIAM
47807U	GOSSELIN, BRENDA
41687S	GREEN, BRAM
90737Q	GROPER, EMILY
29887D	GUOLO, FRANCESCA
52850Q	HACKETT, BENJAMIN
57429B	HALFIN, BRADLEY
26781E	HALPERIN, STEPHEN
73221A	HARMES, ANDREW

**SCHEDULE A : LIST OF FIRM MEMBERS**

12739U	HARRIS, NEIL
79510A	HASSAR, MICHAEL
35906I	HEENEY, TIMOTHY
30688T	HERLIN, KENNETH
64076U	HOFFMAN, BRANDON
64077Q	HOFFMAN, JOSEPH
78009J	HOGAN, JACOB
18816I	HOUSER, ROSLYN
36735C	HOWE, ROBERT
90083D	HUNT, CRISTIN
72904L	HUNT, TARA
56815S	JACOBSON, TAMRYN
51818U	JAFFE, GAIL
81498K	JARJIS, WASEEM
83518B	KARNIK, EASHAN
41703D	KAUFFMAN, SUZANNE
90440W	KHANNA, AYESHA
28504N	KOCH, MICHAEL
54608K	KOLLA, PETER
79598M	KURTZER, RACHEL
29943K	KUSSNER, FRANCY
23773P	LAMPE, JONATHAN
68482L	LASKIN, MAX
23774L	LASTMAN, HOWARD
32326A	LATHAM, LEE
44170U	LEDERMAN, DAVID
12982V	LEIBEL, ALLAN
80050D	LEONARD, MARK
74666T	LEVESQUE, DANIELLE
89795L	LEW, NOAH
77388Q	LIAO, CHRISTINA
86996A	LINDE, JENNIFER
44611C	LIU, YICK TO
80073C	LURIE, DUNCAN
70374H	MACCHIONE, SARAH
42058L	MACKAY, KARI
72950U	MAGISANO, LAURA
64141C	MARCOVICI, HARALD
21772U	MARK, ALAN

**SCHEDULE A : LIST OF FIRM MEMBERS**

79665J	MARTSCHENKO, JULIA
25900C	MATLOW, DAVID
32978R	MAY, NEILL
40939W	MCALISTER, MONIQUE
69846N	MCAULEY, RANDAL
87328N	MELHADO, SAMANTHA
13277T	MOLDAVER, MICHAEL
90825U	MOON, OLIVIA
77088A	MURRAY, ALEXANDRA
34812R	NADLER, DAVID
89845I	NEALS, SHEA
43137F	NORTHUP, JON
43331J	O'NEILL, BRENDAN
90519R	OLIVEROS LEIVA, OLIVIA
55336S	OUANOUNOU, MELANIE
80148N	PALTER, DANIELLE
44968G	PARKER, TARA
82313H	PARSONS, RYAN
40611E	PARTRIDGE, MICHAEL
38390C	PASQUARIELLO, GIUSEPPE
81931B	PATEL, ANDREW
82322F	PIETT, JAY
29633A	PINCUS, STEPHEN
84410I	POUR-BAHREINI, ARYAN
69206F	PRAGER, MATTHEW
17569I	RADOMSKI, HARRY
50866K	RAULIUK, KIRK
25957N	RHEA, CELIA
52664O	ROBERTS, JENENE
80213S	ROMANO, COLIN
49662A	ROSENGARTEN, JOANNA
41011G	ROSENTHAL, JULIE
52954N	ROSNER, DAVID
49416L	ROSS, BRADLEY
39637O	ROTH, MICHELLE
87072O	ROUHI, ARASH
64747K	ROYAL, MICHAEL
17088E	RUBENSTEIN, GALE

**SCHEDULE A : LIST OF FIRM MEMBERS**

25969W	RUBINOFF, FREDDY
85288L	RUBMAN, ERAN
38439P	RUBY, PETER
60582F	SADDINGTON, KENNETH
75247J	SARKOZI, SAMANTHA
59071T	SCOPA, JORDAN
52785L	SEIDMAN, JACLYN MIA
33477N	SHEEHY, NEIL
29675O	SHERMAN, MITCHELL
51411T	SHOGILEV, SANDON
41031R	SHORE, JEFFREY
82535N	SKILLINGS, SHANNON
33485P	SMIT, CARRIE
26377D	SMITH, GRAHAM
67223G	SNELGROVE, JILL
44231H	SPIRO, MARK
28254N	STAMEGNA, CAROLYN
73068O	STOTHART, SARAH
84439G	SUMMERS, RACHEL
54150Q	SUNSTRUM, CHRISTOPHER
59979M	SWEIGMAN, BRIAN
85001P	TEE, BRITTNI
73365T	TILAK, JACLYN
60868J	TING, EMILY
45541F	TOPOLSKI, JERRY
77187R	TROSHCHYNSKY, ANNA
42155N	TUZI, ROCCO
61725U	VADASZ, KAREN
60646D	VAN DIEPEN, JAMES
82552N	VARKIANI, SHADI
39703P	VAUX, ROBERT
88689A	VERMA, DIVYAJYOT
62421I	VIGOD, MICHELLE
80354D	WALL, JONATHAN
82560P	WARREN, MADELINE
65757P	WEIZEL, EMILY
64279L	WIFFEN, BRADLEY
64674O	WILSON, MICHAEL

SCHEDULE A : LIST OF FIRM MEMBERS

25190F	WISE, HOWARD
30918I	WISEMAN, ANDREW
39716U	WISEMAN, DAVID
80379R	WOODFORD, CAITLIN
70509J	ZELIKOVITZ, JOSHUA
23322U	ZITZERMAN, DAVID